IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cai, et al.

Serial No.: 10/766,764	Group Art Unit: 2176
Filed: January 27, 2004	Examiner: unknown

Title: Eliminating Superfluous Namespace Declarations and Undeclaring Default Namespaces in XML Serialization Processing

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION
(37 CFR 1.97(b))

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97-1.99, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of prior art which is considered to be pertinent to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the art cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited reference, and return a copy of the Form 1449 for applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

The citation of the above references should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent art is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

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<tr><td colspan="2">CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)</td></tr>
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<tr><td colspan="2">Person mailing paper/fee: Janelle Souza</td></tr>
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Respectfully Submitted,

Janet M. Skafar, Reg.No. 41315
Correspondence Customer Number 24852
Message Telephone: (408)463-5670
Fax: (408) 463-4827

Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. SVL920030138US1	Serial No. 10/766,764
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant (s): **Cai, et al.**	
		Filing Date: **January 27, 2004**	Group Art Unit: **2176**

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	AA	5089985	2/18/92	Chang et al.			
	AB	5428737	6/27/95	Li et al.			
	AC	5764973	6/9/98	Lunceford et al			
	AD	5778354	7/7/98	Leslie et al.			
	AE	5822747	10/13/98	Graefe et al.			
	AF	5845299	12/1/98	Arora et al.			
	AG	5911145	6/8/99	Arora et al.			
	AH	6070175	5/30/00	Mezei			
	AI	6105024	8/15/00	Graefe et al.			
	AJ	6198480	3/6/01	Cotugno et al.			
	AK	6356906	3/12/02	Lippert et al.			
	AL	6356920	3/12/02	Vandersluis			
	AM	6405191	6/11/02	Bhatt et al.			
	AN	US 2002/0013790 A1	1/31/02	Vandersluis			

FOREIGN PATENT DOCUMENTS

		Document	Date	Country	Class	Sub-class	Translation Yes/No
	BA	WO 01/82133 A2	1 Nov 2001	PCT	G06F	17/30	No
	BB	Serial No. 2349905	June 1, 2001	Canada			No

EXAMINER	DATE CONSIDERED

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		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	CA	Microsoft Corp., "Orthogonal Optimization of Subqueries and Aggregation," by Cesar A. Galindo-Legaria and Milind M. Joshi, pp. 571-581, from ACM SIGMOD 2001, May 21-24, Santa Barbara, CA USA, © 2001.
	CB	Dessaux, Claire, "Exchanging XML-Based Messages on the Oracle9I Application Server" pp48-51, [online], available from http://www.XML-Journal.com, vol. 2, issue-4.
	CC	Institute of Electrical and Electronics Engineers, Inc., "XML and DB2," by Josephine Cheng and Jane XU of IBM Corp., Abstract, p. 569 from the 16th International Conference on Data Engineering, February 28 – March 3, 2000, San Diego, California, p. 1, [online], <URL: http://www.computer.org/proceedings/icde/0506/05050569abs.html> printed on 8/29/02.
	CD	IBM Corp., "XML and WebSphere Studio Application Developer – Part 3, SQl and SML, p. 1-4, [online] available from <URL: http://www7b.software.ibm.co..d/techjournal/0202_haggarty/haggarty.html>, printed on 8/29/02.
	CE	Visual Studio Magazine, "Create Powerful Web Reports," : Use VB code to generate T-SQL queries…, by Roger Jennings, p. 1 [online] <URL: http://fawcette.com/Arc…j/2001/>, printed on 8/29/02.
	CF	Syspro Times, Quarter 2, 2002, "XML Report Viewer," p. 1-8, [online] available from www.rte-cyber.com
	CG	IBM Corp. Research Disclosure n444208, April 2001, #208, p.709, "Conversion of Final Form Data, such as AFP to XML
	CH	IBM Corp., Research Disclosure n451156, November 2001, #156, p. 1966, "Generating Hierarchical XML Data from a flat (Non-Hierarchical) Data Source.
	CI	U.S. Patent Application Serial No. 09/409,598, filed September 30, 1999, entitled "Extensible markup Language (XML) Server Pages Having Custom Document Object Model (DOM) Tags," invented by C.S. Claussen, M.H. Conner, B.C. Zumbrunnen and M.D. McClain
	CJ	U.S. Patent Application Serial No. 09/409,600, filed September 30, 1999, entitled "Method for Processing a Document Object Model (DOM) Having Custom Tags," invented by C.S. Claussen, M.H. Conner and B.C. Zumbrunnen

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